

**A. Student Information**

\_\_\_\_\_  
*Last Name* *First Name* *Student ID Number (starts C00...)*

\_\_\_\_\_  
*Street Address* *City* *State* *ZIP code*

\_\_\_\_\_  
*Phone Number (Include Area Code)* *Date of Birth* *Email Address*

**B. Household Members and Number in College**

List all of the people in your household below. Include:

- Yourself
- Your spouse, if you are married
- Your and your spouse’s children, if you or your spouse will provide more than half of their support, even if they do not live with you.
- Other people if they now live with you and your spouse, and you and your spouse provide more than half of their support and will continue to provide more than half of their support through June 30, 2018.

**Note about Current College Enrollment:**

Include the name of the college for any household member who is, or will be, enrolled at least half time in an undergraduate or graduate degree, diploma, or certificate program at any time between July 1, 2018, and June 30, 2019. *If more space is needed, attach a separate page with the student’s name and Columbia ID Number at the top.*

Full Name	Age	Relationship	Name of College for the 2018-2019 Academic Year	Undergraduate/ Graduate	Half-time/ Full-time	Expected Graduation Date (mm/yy)

By signing this worksheet, I certify all the information reported is complete and correct. The student and spouse must sign.  
**Electronic signatures are NOT acceptable.**

\_\_\_\_\_  
*Student Signature* *Date* *Student ID Number*

\_\_\_\_\_  
*Spouse Signature* *Date* *Spouse Name (Please Print)*

**C. High School Completion Status**

Indicate which of the following documents were submitted to either Columbia College or the Fu Foundation School of Engineering and Applied Science as documentation of high school completion as part of your admissions application:

- A copy of your high school diploma.
- A copy of your final official high school transcript that shows the date when the diploma was awarded.
- A copy of your General Educational Development (GED) certificate or GED transcript.
- An academic transcript that indicates you successfully completed at least a two-year program that is acceptable for full credit toward a bachelor's degree.
- If State law requires a homeschooled student to obtain a secondary school completion credential for homeschool (other than a high school diploma or its recognized equivalent), a copy of that credential is required.
- If State law does not require a homeschooled student to obtain a secondary school completion credential for homeschool (other than a high school diploma or its recognized equivalent), a transcript or the equivalent, signed by the your parent or guardian, that lists the secondary school courses the student completed and documents the successful completion of a secondary school education in a homeschool setting.

**D. Confirmation of Identity and Statement of Educational Purpose**

The student must appear in person at Columbia University, Office of Financial Aid & Educational Financing to verify his or her identity by presenting an unexpired valid government-issued photo identification (ID), such as, but not limited to, a driver's license, other state-issued ID, or passport. The Office of Financial Aid & Educational Financing will maintain a copy of the student's photo ID that is annotated by the Office with the date it was received and reviewed, and the name of the official at Columbia University authorized to receive and review the student's ID.

In addition, the student must sign, in the presence of the institutional official, the Statement of Educational Purpose provided below.

**OPTION 1: Identity and Statement of Educational Purpose (To Be Signed at the Institution)**

I certify that I \_\_\_\_\_ am the individual signing this Statement of Educational Purpose and that the Federal student financial assistance I may receive will only be used for educational purposes and to pay the cost of attending **Columbia University** for 2018–2019.

(Print Student's Name)

\_\_\_\_\_  
(Student's Signature)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Student ID Number)

**OPTION 2: Identity and Statement of Educational Purpose (To Be Signed in the Presence of a Notary)**

If you are unable to appear in person at Columbia University, Office of Financial Aid & Educational Financing to verify your identity, you must submit to our office:

- a) A copy of the unexpired valid government-issued photo identification (ID) that is acknowledged in the notary statement below, or that is presented to a notary, such as a driver’s license, other state-issued ID, or passport; and
- b) The original Statement of Educational Purpose provided below, which must be notarized. If the notary statement appears on a separate page than the Statement of Educational Purpose, there must be a clear indication that the Statement of Educational Purpose was the document notarized.
  - a. Please note that the Statement of Educational Purpose must have “wet” signatures. This means that this form CANNOT be faxed or scanned to us. Please mail or hand deliver this completed form to the Office of Financial Aid & Educational Financing, 1130 Amsterdam Avenue, 100 Hamilton Hall, MC 2802, New York, NY 10027, Office Location: 618 Lerner Hall. Thank you for your assistance with the verification process.

**Statement of Educational Purpose**

I certify that I \_\_\_\_\_ am the individual signing this Statement of Educational  
(Print Student’s Name)

Purpose and that the Federal student financial assistance I may receive will only be used for educational purposes and to pay the cost of attending **Columbia University** for 2018–2019.

\_\_\_\_\_  
(Student’s Signature)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Student ID Number)

**To be completed by a Notary Public**

State of \_\_\_\_\_

City/County of \_\_\_\_\_

On, \_\_\_\_\_, before me, \_\_\_\_\_, personally appeared  
(date) (Notary’s Name)

\_\_\_\_\_ and proved to me on basis of satisfactory evidence of identification  
(Printed name of signer)

\_\_\_\_\_ to be the above-named person who signed the foregoing instrument.  
(Type of unexpired government-issued photo ID provided)

**WITNESS my hand and official seal** \_\_\_\_\_  
(Notary signature)

My commission expires on \_\_\_\_\_  
(Date)

### E. Student and Spouse's Income Information

**Important Note:** The instructions below apply to the student and spouse, if the student is married. Notify the financial aid office if the student or spouse filed separate IRS income tax returns for 2016 or had a change in marital status after December 31, 2016.

- If the student and spouse filed separate 2016 IRS income tax returns, the IRS DRT cannot be used and the 2016 IRS Tax Return Transcript(s) must be provided for each person.
- If the student and/or spouse filed an amended 2016 IRS tax return, you must review Page 5 of this worksheet for further instructions
- If the student and/or spouse filed a non-US tax return, or is not required to file a foreign tax return, they must review Page 5 of this worksheet for further instructions.

**Check the box that applies:**

- The student and/or spouse has used the IRS Data Retrieval Tool to transfer 2016 IRS income tax return information to the student's FAFSA. *If you need more information about when, or how, to use the IRS Data Retrieval Tool, please go to <http://cc-seas.financialaid.columbia.edu/content/irs-drt>*
- The student and/or spouse has not yet used the IRS DRT in FAFSA on the Web, but will use the tool to transfer 2016 IRS income tax return information into the student's FAFSA. *Our Office cannot complete verification until IRS information has been transferred to the student's FAFSA.*
- The student and/or spouse is unable or chooses not to use the IRS DRT to transfer information from the IRS to the FAFSA, and instead will provide the school with a **2016 IRS Tax Return Transcript**.

Complete this section if the student and/or spouse will not file and is not required to file a 2016 income tax return with the IRS.

**Check the box that applies:**

- The student and/or spouse was not employed and had no income earned from work in 2016.
- The student and/or spouse was employed in 2016.
  - ❖ List below the names of all employers and the amount earned from each employer in 2016. Please attach copies of 2016 W-2 statements or 1099-MISC forms, if not already submitted. *If more space is needed, attach a separate page with the student's name and Columbia ID Number at the top.*

Employee (Student or spouse)	Employer's Name	IRS W2 or Equivalent Document Provided?	Annual Amount Earned in 2016
<b>Total Amount of Income Earned from Work</b>			<b>\$</b>

Provide documentation from the IRS or other relevant tax authority dated on or after October 1, 2017 that indicates a 2016 IRS income tax return was not filed with the IRS or other relevant tax authority.

- Check here if IRS Verification of Non-filing Letter is attached.
- Check here if Verification of Non-filing will be provided later: <https://www.irs.gov/pub/irs-pdf/f4506t.pdf> (Request option 7; most requests will be processed within 10 business days)

## **Documentation for Verification of 2016 Income Information for Individuals with Unusual Circumstances**

### **Individuals Granted a Filing Extension by the IRS**

An individual who is required to file a 2016 IRS income tax return and has been granted a filing extension by the IRS beyond the automatic six-month extension for tax year 2016, must provide:

- A copy of IRS Form 4868, “Application for Automatic Extension of Time to File U.S. Individual Income Tax Return,” that was filed with the IRS for tax year 2016;
- A copy of the IRS's approval of an extension beyond the automatic six-month extension for tax year 2016;
- Verification of Non-filing Letter (confirmation that the tax return has not yet been filed) from the IRS or other relevant tax authority dated on or after October 1, 2017;
- A copy of IRS Form W-2 for each source of employment income received or an equivalent document for tax year 2016 and,
- If self-employed, a signed statement certifying the amount of the individual’s Adjusted Gross Income (AGI) and the U.S. income tax paid for tax year 2016.

### **Individuals Who Filed an Amended IRS Income Tax Return**

An individual who filed an amended IRS income tax return for tax year 2016 must provide:

- A **2016 IRS Tax Return Transcript** (that will only include information from the original tax return and does not have to be signed  
**and**
- A signed copy of the 2016 IRS Form 1040X, “Amended U.S. Individual Income Tax Return,” that was filed with the IRS.

### **Individuals Who Were Victims of IRS Tax-Related Identity Theft**

An individual who was the victim of IRS tax-related identity theft must provide:

- A Tax Return DataBase View (TRDBV) transcript obtained from the IRS, or any other IRS tax transcript(s) that includes all of the income and tax information required to be verified;  
**and**
- A statement signed and dated by the tax filer indicating that he or she was a victim of IRS tax-related identity theft and that the IRS is aware of the tax-related identity theft.

### **Individuals Who Filed Non-IRS Income Tax Returns**

- A tax filer who filed an income tax return with Guam, the Commonwealth of the Northern Mariana Islands, the Commonwealth of Puerto Rico and the U.S. Virgin Islands may provide a signed copy of his or her income tax return that was filed with the relevant tax authority. However, if we question the accuracy of the information on the signed copy of the income tax return, the tax filer must provide us with a copy of the tax account information issued by the relevant tax authority before verification can be completed.
- A tax filer who filed an income tax return with the tax authority for American Samoa must provide a copy of his or her tax account information.
- A tax filer who filed an income tax return with tax authorities not mentioned above, i.e. a foreign tax authority, and who indicates that he or she is unable to obtain the tax account information free of charge, must provide documentation that the tax authority charges a fee to obtain that information, along with a signed copy of his or her income tax return that was filed with the relevant tax authority.